

FORM PTO-1390  
(REV. 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U. S. C. 371**

0411US-Hill

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**107502308**

INTERNATIONAL APPLICATION NO.  
**PCT/AU03/00066**

INTERNATIONAL FILING DATE  
**24 JAN 2003**

PRIORITY DATE CLAIMED  
**25 JAN 2002**

## TITLE OF INVENTION

**Swing Mooring Pontoon**

## APPLICANT(S) FOR DO/EO/US

Gregory Davis HILL

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U. S. C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U. S. C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:  
International Search Report

U.S. APPLICATION NO. (if known, see 37 CFR 1.55) <b>107202308</b>		INTERNATIONAL APPLICATION NO. PCT/AU03/00066		ATTORNEYS DOCKET NUMBER 0411US-Hill	
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<p>21. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445 (a)(2)) paid to USPTO and International Search Report not prepared by EPO or JPO ..... <b>\$1080.00</b></p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$920.00</b></p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$770.00</b></p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(I)-(4) ..... <b>\$730.00</b></p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(I)-(4) ..... <b>\$100.00</b></p> <p style="text-align: center;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT :</b></p> <p>Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(c)).</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:30%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:30%;">RATE</th> <th style="width:10%;">\$</th> <th style="width:10%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>27</td> <td>-20 :</td> <td>7</td> <td>x <b>\$18.00</b></td> <td>\$</td> <td>\$126.00</td> </tr> <tr> <td>Independent claims</td> <td>9</td> <td>-3 :</td> <td>6</td> <td>x <b>\$86.00</b></td> <td>\$</td> <td>\$516.00</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ <b>\$290.00</b></td> <td>\$</td> <td>\$0.00</td> </tr> <tr> <td colspan="5" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS -</b></td> <td>\$</td> <td>\$1,722.00</td> </tr> </tbody> </table> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees in this schedule are reduced by 1/2.</p> <p style="text-align: right; margin-right: 50px;"> <small>Indicated date: 02/1/2006 07/27/2004 SNAJARRO 02 EP-2615</small> </p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:65%; text-align: right;"><b>SUBTOTAL -</b></td> <td style="width:10%;">\$</td> <td style="width:25%; text-align: right;"><b>\$861.00</b></td> </tr> </table> <p>Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:65%; text-align: right;"><b>TOTAL NATIONAL FEE :</b></td> <td style="width:10%;">\$</td> <td style="width:25%; text-align: right;"><b>\$861.00</b></td> </tr> </table> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:65%; text-align: right;"><b>TOTAL FEES ENCLOSED -</b></td> <td style="width:10%;">\$</td> <td style="width:25%; text-align: right;"><b>\$861.00</b></td> </tr> </table> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:65%;"></td> <td style="width:10%; text-align: right;">Amount to be refunded:</td> <td style="width:25%; text-align: right;">\$</td> </tr> <tr> <td></td> <td style="text-align: right;">charged:</td> <td style="text-align: right;">\$</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		Total claims	27	-20 :	7	x <b>\$18.00</b>	\$	\$126.00	Independent claims	9	-3 :	6	x <b>\$86.00</b>	\$	\$516.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ <b>\$290.00</b>	\$	\$0.00	<b>TOTAL OF ABOVE CALCULATIONS -</b>					\$	\$1,722.00	<b>SUBTOTAL -</b>	\$	<b>\$861.00</b>	<b>TOTAL NATIONAL FEE :</b>	\$	<b>\$861.00</b>	<b>TOTAL FEES ENCLOSED -</b>	\$	<b>\$861.00</b>		Amount to be refunded:	\$		charged:	\$	<p style="text-align: center;"><b>CALCULATIONS PTO USE ONLY</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td colspan="2" style="text-align: center;"><b>PER VALUE ADJUSTABILITY</b></td> </tr> <tr> <td colspan="2" style="text-align: center;"><b>DEPOSIT ACCOUNT NO.</b></td> </tr> <tr> <td colspan="2" style="text-align: center;"><b>501392</b></td> </tr> <tr> <td style="text-align: center;"><b>FEE CODE</b></td> <td style="text-align: center;"><b>VALUE PAID/RECEIVED</b></td> </tr> <tr> <td style="text-align: center;"><b>215</b></td> <td style="text-align: center;"><b>63</b></td> </tr> <tr> <td colspan="2" style="text-align: center;"><b>2044 258</b></td> </tr> <tr> <td style="text-align: center;">\$</td> <td style="text-align: center;">\$1,080.00</td> </tr> </table>	<b>PER VALUE ADJUSTABILITY</b>		<b>DEPOSIT ACCOUNT NO.</b>		<b>501392</b>		<b>FEE CODE</b>	<b>VALUE PAID/RECEIVED</b>	<b>215</b>	<b>63</b>	<b>2044 258</b>		\$	\$1,080.00
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a. ☐ A check in the amount of \$ 861.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.


c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501392 A duplicate copy of this sheet is enclosed.

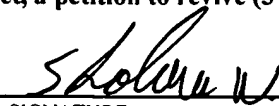
d. ☒ Fees are to be charged to a credit card.

**NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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**Fax: (207) 767-5324**

**USPTO**  
  
**No. 23521**  
PATENT TRADEMARK OFFICE

  
 SIGNATURE  
 Shalom Wertsberger  
 NAME  
 43,359  
 REGISTRATION NUMBER

OT12 rec'd PCT/PTO 22 JUL 2004

## Certificate under 37 CFR 1.10 of Mailing by "Express Mail"

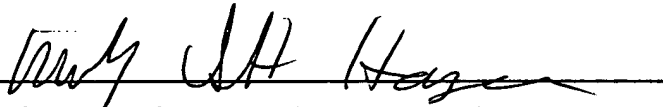
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July 22, 2004

Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria VA, 22313-1450



Signature of person mailing correspondence

Wendy S. H. Hayes

Typed or printed name of person mailing correspondence

Docket: 0411US-Hill

Application #: \_\_\_\_\_

Inventor: Gregory Davis HILL

Filed on: \_\_\_\_\_

Title: Swing Mooring Pontoon

## This correspondence contains:

2 Pages Utility Patent Application Transmittal Under 35U.S.C. 371  
3 Pages Preliminary Amendment  
1 Copy of Parent PCT application with ISR

This certificate of mailing and return receipt postcard in accordance with MPEP 503

☐ Check No. \_\_\_\_\_ or ☒ credit card charge authorization for \$861.00